

### 1 [Uganda]

# Stakeholder engagement – template for EITI data collection

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Period under review: August 2020 to September 2023

Validation team: 1) Francisco Paris <u>fparis@eiti.org</u>

2) Hugo Paret <a href="hparet@eiti.org">hparet@eiti.org</a>

3) Jessica Sanchez <u>jsanchez@eiti.org</u>

**Deadline for submission**: 1st October 2023



Template for data collection Stakeholder engagement October 2023

### Introduction

The EITI requires effective multi-stakeholder oversight, including a functioning multi-stakeholder group that involves the government, companies, and the full, independent, active and effective participation of civil society.

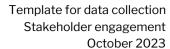
The key requirements related to multi-stakeholder oversight include: (1.1) government engagement; (1.2) industry engagement; (1.3) civil society engagement, including <u>EITI Protocol:</u> <u>Participation of civil society</u>, and (1.4) the establishment and functioning of a multi-stakeholder group.

The purpose of this template is to collect information from MSG members about the implementation of these provisions. Parts I to IV of this template should be completed and submitted to the International Secretariat by the commencement of Validation.

Part I: MSG oversight addresses Requirement 1.4.b and should be approved by the MSG before submission to the International Secretariat.

Parts II to IV should be completed by each constituency and submitted to the International Secretariat. These should be shared with the MSG for information.

The Validation team will undertake virtual or in-person consultations to gather additional information. Ahead of the Validation, a public call for stakeholder views will be launched by the International Secretariat.





### Part II: Government engagement

This questionnaire seeks to collect information from government MSG members about the engagement of the government in the EITI process from August 2020 to September 2023. Government MSG members are requested to fill out the form together and either submit it directly to the Validation team (<a href="mailto:fparis@eiti.org">fparis@eiti.org</a>) or request the National Coordinator to submit it. Government MSG members may also mandate the National Coordinator to fill out the questionnaire. The deadline for submitting the form to the Validation team is 1st October 2023. It is recommended that government MSG members coordinate to agree one submission. Diverging views within the constituency can be documented in the form. The signatories of the submission should be indicated at the bottom of the form. Stakeholders may contact the Validation team directly to provide additional views.

# 1. Examples of statements or actions in support of the EITI and/or matters in the scope of the EITI Standard by high-level government representatives, such as ministers or the head of state

The Minister of Finance, Planning and Economic Development (MoFPED) issued a public statement on Uganda's intention to join EITI. (Public statement can be accessed at <a href="https://eiti.org/sites/default/files/attachments/public statement on uganda joining eiti.pdf">https://eiti.org/sites/default/files/attachments/public statement on uganda joining eiti.pdf</a>)

Hon. Peter Lokeris (State Minister for Minerals Development) remarks at the launch of second UGEITI Report. Remarks-of-the-Hon.-MEMD-LAUNCH-OF-UGANDAS-SECOND-EITI-REPORT-AT-SHERATON-HOTEL.pdf (ugeiti.org)

Hon. Amos Lugolobi, (State Minister for planning- MoFPED) at the remarks at the launch of second UGEITI Report. Remarks-of-the-State-Minister-MoFPED.pdf (ugeiti.org)

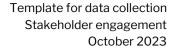
Hon. Peter Lokeris' (State Minister for Minerals Development) remarks at the Press Conference for the second UGEITI Report. <u>Remarks-of-Minister-of-State-for-Mineral-Development-at-the-Press-Conference-held-at-Uganda-Media-Centre.pdf (ugeiti.org)</u>

Hon. Haruna Kasolo's (State Minister for Micro-Finance- MoFPED) remarks at the 2<sup>nd</sup> Pre-Validation Training of MSG, 2023. <u>Remarks-of-the-State-Minister-at-Prevalidation-Training-Workshop.pdf</u> (ugeiti.org)

Hon. Opolot Okaasai (State Minister for Energy) remarks at the Private Sector engagement on EITI,2023. (Remarks-of-the-State-Minister-at-Private-Sector-Engagement-held-at-Kabira-Country-Club.pdf (ugeiti.org))

Hon, Ruth Nankabirwa (Minister of Energy and Mineral Development) remarks at the East African Petroleum Conference on 9<sup>th</sup> May 2023. She stated that: "Uganda is also an active member of the Extractives Industry Transparency Initiative (EITI) and this has further helped to enhance the governance and transparency aspects of the oil and gas sector."

https://www.ugeiti.org/wp-content/uploads/2023/05/Remarks-of-Minister-of-Energy-and-Mineral-Development.pdf





#### Some other useful of the links are:

https://www.monitor.co.ug/uganda/business/commodities/government-yet-to-commit-to-oil-transparency-initiative-1551832

https://www.monitor.co.ug/uganda/news/national/government-to-make-oil-gas-mining-dealings-public-1921690

https://www.newvision.co.ug/category/business/govt-launches-first-extractive-industries-tra-135901

https://observer.ug/viewpoint/76187-africa-should-be-energy-reliant

https://observer.ug/viewpoint/77212-eacop-and-the-uganda-tanzania-ties-that-bind

#### 2. Name and position of senior individual leading implementation.

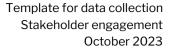
EITI Champion - Hon. Haruna Kyeyune Kasolo, Minister of State for Microfinance and Small Enterprises, (MoFPED)

EITI Champion – Hon. Peter Lokeris, Minister of State for Minerals, Ministry of Energy and Mineral Development (MEMD)

Mr. Moses Kaggwa - MSG Chairperson (Ag. Director Economic Affairs, Ministry of Finance, Planning and Economic Development)

## 3. Describe the process for nominating government MSG members, including whether consideration was given to ensuring the seniority and diversity of representation.

Agreed procedure for selecting government MSG members	Practice in the period under review
Existing government procedures:	A stakeholder mapping was done by Ministry of Finance, Planning and Economic Development
Cabinet Minute Extract directing the Minister of Finance to appoint members from relevant	(MoFPED) to identify relevant institutions for the implementation of EITI. These include
institutions to be part of the MSG to lead EITI	MoFPED, Ministry of Justice and Constitutional
implementation.	Affairs (MoJCA), Ministry of Works and Transport (MoWT), Ministry of Energy and
In addition, the Government MSG members	Minerals Development (MEMD), Bank of
also follow the ToRs as documented in	Uganda (BoU), Uganda Revenue Authority
Section 5 d:	(URA), National Environmental Management
	Authority (NEMA), National Planning Authority





Each constituency has the right to nominate its own members through the procedures that they choose, taking into consideration the need to ensure that procedures are transparent, open and representative.

(NPA) and Petroleum Authority of Uganda (PAU). Later, Local Governments were also brought on board during the process of implementation. Consequently, the relevant institutions were requested to identify and nominate officers from their respective institutions to the MSG. The institutions through their internal processes identified senior officers who were then nominated by their accounting officers, and then appointed to the MSG by the Hon. Minister of Finance, Planning and Economic Development.

# 4. If any MSG representatives changed during the MSG's term, please describe the process followed for replacing them.

Agreed procedure for replacing government MSG members	Practice in the period under review
The MSG Terms of Reference spells out the procedures for replacing members. This procedure is found in section 5.2 of the ToR: Terms of Membership - Members shall be appointed for an initial term of three years, renewable once.	When a member leaves office or the position on the MSG falls vacant, the MSG through the MoFPED writes to the institution that has the vacancy requesting for a nominee to replace the member as has been the case with a number of institutions including URA, PAU, Ministry of Water and Environment.
	Consideration is also given to diversity and gender balance of the members in order to ensure that membership reflects the changing nature of the sector and requirements of the EITI Standard.
	Most of the members who have been replaced are either due to normal government transfers or a member being appointed to other positions in a different Ministry or Government Agency.
	For instance, during the period covered, Members from Ministry of Foreign Affairs (MoFA) (Ms. Juliet Magala Nakawuma and Ms. Jesse Musinguzi) were transferred to overseas missions and have not yet been replaced while a member from PAU Mr. Kosia Kasibayo was appointed as a Magistrate in Judiciary. He was replaced by another staff member from PAU, Mr. James Musherure Rujoki. A member from URA (Mr. Evans





Mwesigye) left and was replaced by (Mr. Joseph Kyeyune). This was done by the member institutions at the request of the MSG through the MoFPED. More detailed information can be found in Section 1 above under MSG Members and Attendance above.

5. Government resources directed to EITI implementation in the period under review, such as staff and funding for work plan activities.

The annual government allocation for EITI implementation has increased from UShs. 1.2billion (USD 336,000) to UShs. 2.2 billion towards national EITI work plan implementation. In addition to the direct Government support, the EITI Secretariat received technical and budget support from the European Union. For the current fiscal year 2023/24, Government support is at Ushs.2.2 billion.

The National Coordinator seconded by the Ministry of Finance, Planning and Economic Development is a full-time staff member heading EITI operations and implementation.

The National Secretariat is well staffed and its operations are funded by the Government.

MSG Members of the Government Constituency commit staff time and expertise to EITI work plan implementation.

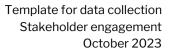
## 6. Efforts undertaken by the government to ensure an enabling environment for company and CSO participation in the EITI and/or to remove any obstacles to EITI disclosures.

Both the companies and civil society have had an enabling environment to participate in EITI. These two constituencies are fully represented on the MSG where all parties freely discuss issues relating to EITI Implementation. The government, companies and civil society have quarterly meetings under the Joint Venture Partners arrangement where regular updates and feedback are given to all stakeholders and accountability on EITI issues are brought forward and discussed through a participatory process. *Minutes from these meetings are appended to this section of the template.* 

Government with support from Development Partners (EU) has spearheaded training of companies that are players in the extractive industry on beneficial ownership transparency and has also undertaken legislative actions to facilitate an enabling environment for beneficial ownership transparency. Reports from these engagements are appended.

<u>Formation of the MSG:</u> Civil society organizations and industry members are active participants in the MSG meetings and have severally chaired the meetings. This is well documented in the various MSG Meeting Minutes in section 3(MSG meetings and minutes) above.

<u>Existing legal frameworks and policies:</u> National Oil and Gas Policy 2008, Oil and Gas Revenue Management Policy, 2012, Public Finance Management Act, 2015 the Mining and Minerals Policy, 2018, National Content Policy, the Access to Information Act 2005, the NGO Act 2016, and the International Conference on the Great Lakes Region (Implementation of the Pact on





Security, Stability and Development in the Great Lakes Region) Act 2018, among others constitute an enabling legal framework for stakeholder participation in EITI implementation.

Government has ensured that there is open civic space for advocacy through public debates and symposiums. This is well documented in Part IV of the template (Civil Society engagement).

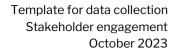
Government institutions and websites are user-friendly and host publicly accessible data and information management systems relevant to EITI implementation. Examples are the Ministry of Finance (MoFPED (www.finance.go.ug), Petroleum Authority of Uganda (PAU) (www.pau.go.ug), and Uganda National Oil Company (UNOC) (www.unoc.co.ug) websites.

### Liaison with the broader constituency

3. Describe the government constituency's structures, policies and practices for coordination on EITI matters.

Please provide supporting evidence. If the evidence is available online, please provide a link. If it is not, please annex the evidence to this questionnaire.

Structures in place for liaison with the broader constituency, such as coordination groups	Policies and agreed procedures for liaison with the broader constituency	Practice in the period under review
EITI has an intervention in the National Development Plan (NDP) III, which is the key driver towards the realization of the aspirations and targets in the Vision 2040. It is thus, a key national strategic instrument, that captures key government priorities.  NDP III has 18 programmes, seven (7) of which directly contribute to the implementation of the EITI. Each of the programme working groups that are chaired by the Permanent	Different government MDAs (Ministries, Departments and Agencies) directly or indirectly contribute towards the realization of the aspirations of the NDPIII through their strategic plans.  Specific to EITI, through private sector development programme in the NDPIII, government gets to interact with the private sector and civil society	[Please describe how the constituency coordinated on EITI matters in the period under review, including a description of actors engaged.]  The NDPIII runs for the period 2020/21 to 2024/25, and the EITI implementation plan fully aligns to the NDPIII though implementation of the annual workplans. Given that transparency and accountability are key outputs of the "EITI workplan implementation" as well as in the NDPIII, all key stakeholder institutions through the MSG representatives and their proxies, support the realization of activities of the EITI workplan. This has been majorly done under the coordination of the EITI secretariat through scheduled meetings, trainings and workshops. The actors engaged include National Planning Authority (NPA), Ministry of Energy and Minerals Development (MEMD), Ministry of Finance, Planning and Economic Development (MoFPED), Ministry of Justice and





Secretary of the Ministry and the Technical Working Groups (TWGs) that are chaired by at least principal government officer. EITI is emphasized in the Petroleum Development Programme, under Upstream sub-programme, and it Is hinged on the objective strengthening policy, legal and regulatory framework as well as institutional capacity of oil and gas industry. The key intervention relating to EITI is strengthening governance and transparency in the oil and gas sector and the key output is the EITI midterm workplan implementation. these key documents can be accessed on NPA website:

http://www.npa.go.ug/

There are also existing legal frameworks and policies with provisions for implementation of EITI (see Section 6 directly above), spearheaded by the Ministry of Energy and Mineral Development.

In addition, under the Ministerial Development Programme in the NDPIII, the Ministry of Energy and Minerals Development supports the EITI implementation process.

through the programme working groups.

Constitutional Affairs (MoJCA), Uganda Revenue Authority (URA) among others.

https://acode-u.org/eiti

https://www.monitor.co.ug/uganda/news/nat ional/disclose-earnings-from-mineral-dealskabuleta--3901272

The government constituency convened meetings to discuss various steps in EITI implementation, including consultations on the work plan, the Annual Progress Report, and the 2 EITI reports that have been produced to date. Key government institutions including the Ministry of Energy, the Petroleum Authority of Uganda and the Ministry of Finance took the lead in spearheading discussions centering on of transparency aspects accountability, including contract disclosure and the recently enacted Mining and Minerals Bill 2022.

#### The National Budget Conference

This is the first meeting held by Government during the annual development of the National Budget. Country wide consultations are held with all stakeholders during the drafting of the National Budget.

For the FY 2024/25 Budget Strategy, the conference was held on the 14th September 2023, under the theme: Full Monetization of Uganda's Economy through Commercial Agriculture, Industrialization, Expanding and Broadening Services, Digital Transformation and Market Access. At this conference, in her speech, the Rt. Hon. Prime Minister, Robinah Nabbanja noted that Government has put in place key interventions to drive economic growth in line with EITI which include;

 The development of the mineral industry with focus on strengthening the regulatory and governance frameworks that ensure transparency and accountability to attract private capital.



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	The conference was attended by high-ranking officials from all MDAs, Development Partners, CSOs, Academia and Media.
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- 4. Have MSG members sought input from the broader constituency on the following documents. If yes, how and did you receive input?
  - a) The latest EITI work plan, including priorities for EITI implementation
  - b) The latest annual review of outcomes and impact

During the development of the Work Plan FY 2022/23, MSG members sought inputs from the National Development Plan III in form of guiding the objectives of EITI workplan (FY2022/23) and costing the EITI initiatives as part of the overall programme implementation action plan. The process was undertaken at the APR and WP training held from 30<sup>th</sup> June to 1<sup>st</sup> July 2022. This work plan was approved at the 21<sup>st</sup> meeting of the MSG on 8<sup>th</sup> September 2022.

The current Work Plan FY 2023/24 was discussed at the first and second Pre-Validation Training held from 30<sup>th</sup> May – 2<sup>nd</sup> June 2023 <u>First-MSG-Pre-validation-Training-Workshop.pdf</u> (ugeiti.org) and 26<sup>th</sup> – 27<sup>th</sup> July 2023 (<a href="https://www.ugeiti.org/wp-content/uploads/2023/08/Second-MSG-Pre-validation-Training-Workshop.pdf">https://www.ugeiti.org/wp-content/uploads/2023/08/Second-MSG-Pre-validation-Training-Workshop.pdf</a>) respectively, MSG members engaged in their respective constituencies to review the outcomes and impact of EITI.

It was then discussed (2<sup>nd</sup> August 2023) by the Work Plan Committee formed at the 27<sup>th</sup> MSG Meeting held on 29<sup>th</sup> June 2023. The Committee on the Work Plan comprised of members drawn from the three (3) MSG constituencies. At this meeting, the MSG comments were all incorporated <a href="https://www.ugeiti.org/wp-content/uploads/2023/09/Work-Plan-Committee-Meeting-Minutes-2nd-August-2023.pdf">https://www.ugeiti.org/wp-content/uploads/2023/09/Work-Plan-Committee-Meeting-Minutes-2nd-August-2023.pdf</a>.

The Work Plan FY 2023/24 was circulated and MSG members were requested via email to hold wider consultations.

At the 28<sup>th</sup> MSG Meeting held on 31<sup>st</sup> August 2023, it was discussed and approved subject to amendments. The work plan was then published on the UGEITI Website. It can be accessed using the following link: <u>UGEITI COUNTRY WORK PLAN 2023/2024</u>)

### Use of data

5. Have government representatives contributed to communicating or using EITI data, including participation in outreach activities?

If yes, please provide examples with links to any supporting evidence, such as reports, speeches or news articles.

Yes. the stakeholders have held wider engagements on using EITI data communicate with the wider public. This has been done through using different outreach activities using both the radio, Television and newspapers and physical gatherings.



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The MEMD through DGSM organized an awareness engagement on the Mining and Minerals Regulations from 29<sup>th</sup> August to 1<sup>st</sup> September 2023.

The MEMD organized the 10<sup>th</sup> East African Petroleum Conference which was held on 8<sup>th</sup> May 2023. At the conference, issues highlighted and discussed ranged from investment promotion to EITI with emphasis on transparency and good governance for the sector.

The following links provide examples on how Government has used EITI data and outreach activities:

https://www.monitor.co.ug/uganda/news/national/government-to-make-oil-gas-mining-dealings-public-1921690

https://www.monitor.co.ug/uganda/oped/commentary/uganda-is-on-the-right-track-to-make-oil-benefit-citizens-1805292

https://www.monitor.co.ug/uganda/special-reports/uganda-s-oil-gas-dreams-what-is-the-safety-net--3368424

 $\underline{\text{https://www.monitor.co.ug/uganda/oped/commentary/disclose-oil-contracts-to-the-public-3501156}$ 

https://observer.ug/viewpoint/77896-oil-revenues-to-boost-sports-development-in-uganda

https://www.independent.co.ug/ugandas-oil-revenue-sharing-question/

 $\underline{\text{https://www.monitor.co.ug/uganda/oped/commentary/oil-revenue-to-boost-sports-devt-}\underline{4242888}}$ 

https://www.unoc.co.ug/the-oil-and-gas-projects-are-for-ugandans-betterment/

https://www.voutube.com/watch?v=M0hih1Sv8UA

https://www.ntv.co.ug/ug/news/business/-40-of-approved-oil-and-gas-contracts-go-to-uganda-4231830

https://www.youtube.com/watch?v=a9MFWVvHgIE

https://www.youtube.com/watch?v=ZD9eV1hXopE

https://www.youtube.com/watch?v=4PKmQKxNaHI&t=1s



### Sign-off

Please include below the names and contact details of the MSG members from the government constituency who sign off on submitting the above information to the Validation team. Add rows as needed.

Name	Email address or telephone number	Date
Moses Kaggwa	moses.kaggwa@finance.go.ug	28.09.2023
(MSG Chairperson)		
Robert Tugume (Ministry of Energy and Mineral Development)	r.tugume@petroleum.go.ug	28.09.2023
Clovice Irumba	Clovice.irumba@pau.go.ug	28.09.2023
(Petroleum Authority of Uganda)		
David Sebagala (Directorate of Geological Survey and Mines)	sebsdavds@gmail.com	28.09.2023
Timothy Tibesigwa	ttibesigwa2000@yahoo.co.uk	28.09.2023
(Ministry of Works and Transport)		
Phillip Wabulya	pwabulya@bou.or.ug	28.09.2023
(Bank of Uganda)		