



Uganda Extractive Industries Transparency Initiative

TRAINING REPORT

TWO-DAY TRAINING ON THE ANNUAL PROGRESS REPORT AND DEVELOPMENT OF THE NEXT UGEITI WORK PLAN 2022

30th June – 1st July 2022,
Speke Resort Munyonyo,
Kampala - Uganda

Abbreviations & Acronyms.

APR	Annual Progress Report
CSO	Civil Society Organisation
DGSM	Directorate of Geological Survey and Mines.
EITI	Extractive Industries Transparency Initiative.
EU	European Union
MEMD	Ministry of Energy and Mineral Development.
MOFPED	Ministry of Finance, Planning and Economic Development
MSG	Multi-Stakeholder Group
NDP III	National Development Plan III
NS	National Secretariat
NPA	National Development Plan
IA	Independent Administrator.
IS	International Secretariat
PAU	Petroleum Authority of Uganda
UGEITI	Uganda National Extractive Industries Transparency Initiative.

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1.0 INTRODUCTION

This report provides an overview of the training on the Annual Progress Report (APR) and development of Uganda's next EITI Work Plan 2022. The Two-day training was held from 30th June to 1st July 2022 at the Speke Resort Munyonyo, Kampala - Uganda.

The training workshop was attended by participants from all the three (3) constituencies of the Multi Stakeholder Group (MSG) – Government, Industry and Civil Society. The training was also attended by observers from the Office of the Auditor General and staff of the National Secretariat (NC). The list of participants is attached as *Annex A*.

The general output of the workshop was to train MSG members on the need of work planning and APR processes that strengthen the national relevance and strategic orientation of EITI implementation in Uganda. It was also intended to build the capacity of the MSG to enable them take effective charge of broader EITI implementation in Uganda. The training was designed and conducted by facilitators from the EITI International Secretariat in Oslo, Norway:

- a) Mr. Christopher Wilson (Senior Advisor Evidence and Learning) who took the MSG through the APR and importance of aligning the EITI work plan to the national priorities, and
- b) Mr. Michael Uzoigwe Uchenna (Country Manager, Anglophone Africa) who took the team through the Validation process that will commence on 1st April 2023 for UGEITI.

Prior to the training workshop, the mission held consultative meetings with key stakeholders (across all the constituencies) to acknowledge the milestones achieved with the publication of the first UGEITI report, announce and highlight the significance of and need for early preparation for Validation, and to train the MSG on developing work plans and APRs. These stakeholders included;

- a) Directorate of Geological Survey and Mines (DGSM)
- b) Petroleum Authority of Uganda
- c) TotalEnergies EP Limited
- d) Uganda National Beneficial Ownership Committee
- e) Donors and Development Partners i.e., GIZ,
- f) Civil Society constituency
- g) UGEITI Secretariat

The consultative meetings were also important to help understand the context and challenges that the National Secretariat, MSG, and other stakeholders faced during implementation. This process was important for the International Secretariat mission to provide advice on dealing with the challenges and to properly structure International Secretariat (IS) support going forward.

2.0 OBJECTIVES OF THE TRAINING WORKSHOP

The objectives of the training were to:

- a) Support the development of the second UGEITI work plan and prepare the Annual Progress Report,
- b) Guide the MSG on how to prepare for Validation, and
- c) Provide broader support for successful implementation of EITI in Uganda

Day 1: Thursday, 30th June 2022

The first day of the training featured the following presentations and discussions:

- Relationship between the Annual Progress Report and the Work Plan
- Work Plan development through defining National Priorities
- Presentation on the Annual Progress Report 2020-2022
- Outputs and Way forward

3.0 RELATIONSHIP BETWEEN THE APR AND THE WORK PLAN

The Annual Progress Report (APR) is a report that documents the activities of the work plan that a member country was able to achieve and those that were not for a given period. It provides a review of the activities i.e., progress, outcomes and impacts that are used to update the work plan.

The APR and the Work Plan feed into each other. According to the EITI Standard 2019, the MSG is expected to consult with their respective wider constituencies and provide feedback on the progress, outcomes and impact towards achieving the objectives set out in the work plan. This information is fed into the APR for information and onward action by stakeholders. Consultations are also encouraged to enquire whether the outcomes and impacts are aligned to the national priorities during EITI implementation.

MSG consultations on EITI implementation to inform the APR and work plan can be conducted through different channels including:

- a) Workshops and community hearings
- b) Online events and webinars
- c) Open emails to provide input
- d) Documents sent out for comments and returned with feedback
- e) Co-creation through a participatory review process where feedback and comments are developed and drafted together.
- f) Surveys, with a timeframe e.g., request respondents to provide their responses within 30 days.

The facilitators emphasised the importance of wider consultations through outreach activities to ensure that the views from other stakeholders are considered in the MSG. The consultative engagements should be focused on progress against activities, and a reflection on recommendations from EITI reports.

Consultations on EITI implementation provides opportunities for each constituency to present issues that are affecting them. For example, if the CSOs are able to articulate their issues freely, their priorities can be incorporated into the work plan for implementation.



Picture: Discussions during the training workshop with Mr. Christopher Wilson from the IS.

4.0 WORK PLAN DEVELOPMENT THROUGH NATIONAL PRIORITIES

Participants were introduced to the National Development Plan (NDP III) by Mr. Jonan Kandwanaho, an MSG member from the National Planning Authority (NPA). He explained that the key role of the NPA was to approach Government aspirations through integrated development planning, monitoring and evaluation of public projects and programmes. He made a presentation to the workshop with an overview of the national priorities presented through twenty (20) programmes in the NDP III. A number of programmes were relating to the extractives sector, which presented a great opportunity for UGEITI to harmonise its work plan objectives with the national priorities of the country.

4.1 Group Discussions on National Priorities and Work

planning

The participants were asked to split into four (4) groups tasked to retrieve and prioritize the key national priorities relating to the extractives sector in the NDP III. Five of the most popular programmes/priorities would be incorporated in UGEITI's next work plan. Note that the EITI standard advocates for the alignment of work plan objectives to national priorities to ensure coherence and integrated development for member countries.

4.2 Stakeholder Analysis

This is a key process for effective implementation of EITI. Stakeholder analysis involves identifying and mapping of key stakeholders to participate and work towards winning support for effective implementation of organizational processes. The MSG was encouraged to identify key stakeholders who would be influential and critical for tracking progress during implementation of EITI in Uganda. The four groups participated in a rigorous exercise of identifying the key stakeholders for EITI implementation, and their respective candidates were pinned on the Stakeholder Analysis Chart in the training room. Below is a pictorial illustration of the exercise:



Picture: MSG carry out a stakeholder analysis exercise for UGEITI

Some of the key stakeholders identified to steer EITI implementation included; the Presidency, Parliament, MOFPED, MEMD, NPA, URA, URSB, PAU, Extractive companies, Local

Governments, CSOs, donors and development partners, Ministry of Water and Environment, DGSM, NEMA etc.

4.3 Proposed objectives for the forthcoming work plan

Following the tallied results from the group discussions under section 3.0 above, participants recommended the following objectives for Uganda's next work plan:

- a) To ensure transparency in the extractive sector
- b) To strengthen extractive revenue management and accountability
- c) To strengthen the capacity of EITI stakeholders; including the MSG and National Secretariat towards effective implementation of EITI
- d) To increase opportunities for local participation in the extractives sector
- e) Promoting sustainable environmental management of the extractive sector

5.0 PRESENTATION ON THE ANNUAL PROGRESS REPORT 2020-2022

The APR was presented by the Finance Officer, Mr. Edgar Mutungi. Political support was identified as a key aspect for maximizing support and publicity of EITI initiatives in Uganda. UGEITI needed to draw the attention of political leaders towards EITI implementation in Uganda to ensure that they make high level pronouncements and statements.

The IS mission experts advised every constituency to develop a framework for engaging their wider constituencies with the Government constituency taking lead in leveraging political support.

6.0 DAY 1 - OUTPUTS AND WAY FORWARD

Identification of objectives was a key process in the development of a work plan. MSG ownership of the work plan was strengthened, and their capacity enhanced in regard to the work planning process.

The IS facilitators resolved to further review the identified objectives with the Secretariat after the day's training before further consideration by the MSG the following day.

Day 2: Friday, 1st July 2022

The second day of the training featured the following presentations and discussions;

- Assigning activities to objectives
- The Upcoming Validation Process
- Funding of UGEITI Activities
- Lessons Learnt from the workshop training
- Way Forward

7.0 ASSIGNING ACTIVITIES TO OBJECTIVES WORK PLAN OBJECTIVES

The participants narrowed down to the following three objectives for the forthcoming UGEITI work plan:

- a) To enhance transparency in the extractives sector
- b) To strengthen revenue management and accountability
- c) To strengthen the capacity of EITI Stakeholders, including the MSG and Secretariat to ensure EITI is effectively implemented.

Activities would be developed within the three objectives identified above, including aspects of local content and environmental impact. The facilitators advised the MSG to constitute a committee that would develop activities that were aligned to the identified national priorities.

7.1 Prioritizing work plan activities

It was important to consider the dissemination of Uganda's first EITI report as priority in the forthcoming work plan. This would enable collecting effective feedback through debates and dialogue for further consideration. The MSG requested the NS to provide them with a costed strategy for dissemination.

Incomplete activities from the previous WP would be reviewed and maintained in the new work plan. Priority would be given to dissemination of the report, Validation and the production of the next EITI report.

8.0 THE UPCOMING VALIDATION PROCESS

The presentation was made by Mr. Michael Uzoigwe from the EITI International Secretariat. He announced that the validation process was scheduled to commence on 1st April 2023 and emphasised the need for early preparation and sensitization of key stakeholders. He noted that the mission would use the opportunity to finalize a Pre-validation Schedule with UGEITI MSG in line with the new Validation model.

He stated that validation was important to show how EITI implementation was achieving impact in member countries. In simple terms, validation is the process of taking stock of what had happened during to implementation.

8.1 Components of Validation

Validation looked at the following three components:

- a) Stakeholder engagement (with an emphasis on civic space)
- b) Transparency, and
- c) Outcomes and impacts

In addition, the validation guide focused on the following three aspects:

- a) Implementing countries demonstrating that they had achieved the objectives of each requirement
- b) Clearer terminology
- c) If the correct assessment was not clear, it would be flagged to the validation committee

8.2 Effectiveness and sustainability indicators

The effectiveness and sustainability indicators to ensure a good performance during validation include;

- a) EITI implementation addressing national priorities
- b) Systematic disclosure of data
- c) Enabling environment
- d) Data accessibility and use for analysis

Mr. Uzoigwe informed participants that on 1st March 2023, there would be a public call issued internationally to provide feedback on EITI implementation in Uganda. He requested that the Validation templates be filled and shared with the IS priority to the Validation period to ensure that Uganda is given proper guidance in time.

He also informed the MSG that a status update could be provided on critical areas like contract disclosure and beneficial ownership to illustrate the progress UGEITI had achieved towards their implementation.

9.0 FUNDING OF UGEITI ACTIVITIES

There was concern about the lack of funding that had affected numerous activities on the previous work plan. Some of the activities affected include; capacity building, outreach activities and stakeholder engagements. There was need to undertake a fund-raising drive to ensure that the next work plan is adequately facilitated.

10.0 LESSONS LEARNT

The lessons learnt from the training workshop included:

- a) The need for MSG ownership of the APR and work plan and out fundraising.
- b) Consultations and constituency engagements is key for a strong work plan
- c) Targets and activities should be realistic in regards to availability of funds, mandates, capacity and influence
- d) Activities to target key weaknesses i.e., political support, use of mandates and constituency engagements
- e) Funding and fundraising are key for core implementation

11.0 WAY FORWARD / NEXT STEPS

- a) Finalise the APR for approval by the MSG
- b) MSG participants at the workshop to constitute the work plan committee tasked with the preparation and development of the next UGEITI work plan 2022/2023.
- c) The International Secretariat (IS) encouraged UGEITI partnering with CSOs & the industry constituency for funding and joint stakeholder engagements.
- d) UGEITI to commence with procurement of an Independent Administrator to prepare the next UGEITI report.

~END~

Annex A.

List of Participants

1. Mr. Jonan Kandanwaho, National Planning Authority
2. Eng. Timothy Tibesigwa, Ministry of Works & Transport
3. Mr. John Kennedy Okewling, Ministry of Energy and Mineral Development (MEMD) Directorate of Geological Survey and Mines
4. Mr. Francis Elungat, Ministry of Energy and Mineral Development (MEMD)
5. Eng. David Sebagala, Ministry of Energy and Mineral Development (MEMD) – Directorate of Geological Survey and Mines
6. Ms. Sarah Aijuka, National Environmental Management Authority (NEMA)
7. Mr. Siragi Magara, Oxfam International
8. Mr. Sam Mucunguzi, Citizen Concern Africa
9. Mr. Paul Mulindwa, Kibale District Civil SON
10. Mr. Mathias Ssenkaali, Oranto Petroleum
11. Ms., China National Offshore Oil Corporation (CNOOC)
12. Dr. Tom Buringurinza, Armour Energy
13. Ms. Linda Tusiime, TotalEnergies EP Limited
14. Ms. Mina Horace, EU Expert on EITI
15. Mr. Ms. Magdalene Babirye, Office of the Auditor General
16. Mr. Robert Muhumuza, Office of the Auditor General
17. Ms. Agnes Banda, Office of the Auditor General
18. Mr. Saul Ongaria, National Coordinator - UGEITI
19. Mrs. Gloria Mugambe, Head of Secretariat - UGEITI
20. Mr. Edwin K. Kavuma, Compliance Officer - UGEITI
21. Mr. Francis Garvin Okello, Office Assistant - UGEITI
22. Mr. Edgar Mutungi, Finance Officer – UGEITI
23. Mr. Dan Denis Agaba, Statistician – UGEITI
24. Ms. Bronia Arinda, Secretary – UGEITI
25. Mr. Abbey Gitta, Office Assistant – UGEITI
26. Ms. Angela Susan Acom, Office Assistant – UGEITI
27. Ms. Gertrude Angom, Administration Officer - UGEITI